



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Warren M. Farnworth et al. ✓

Serial No.: 09/589,841 ✓

Filed: June 8, 2000 ✓

For: STEREOLITHOGRAPHIC METHODS
FOR FORMING A PROTECTIVE LAYER
ON A SEMICONDUCTOR DEVICE
SUBSTRATE AND SUBSTRATES
INCLUDING PROTECTIVE LAYERS SO
FORMED

Examiner: Unknown

Group Art Unit: 2776

Attorney Docket No.: 3923US (99-0033)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 3, 2001
Date of Deposit

Signature of registered practitioner or other person
having reasonable basis to expect mailing to occur
on date of deposit shown pursuant to 37 C.F.R. §
1.8(a)(1)(ii)

Deidra Pfeil
Typed/printed name of person whose signature is
contained above

LETTER TO THE CHIEF DRAFTSMAN

Box Amendment - No Fee
Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith revised FIGS. 3, 7, 10 and 11 which correct errors in the drawings. Specifically, FIG. 3 has been revised to change the reference numeral "52B" (on the left) to --52A-- to eliminate redundancy; FIG. 7 has been revised to add the reference numeral --56-- with appropriate lead line; FIG. 10 has been revised to add the reference numeral --82-- with appropriate lead line; and FIG. 11 has been revised to delete reference numerals "68" and "82" and lead lines..

#2
4/2/01
Drafts
J. McNamee
2/24/01

approved
MJD

Serial No.: 09/589,841

No new matter has been added. Approval of the proposed revisions is respectfully requested.

Respectfully submitted,



Brick G. Power
Registration No. 38,581
Attorney for Applicants
TRASK BRITT
P. O. Box 2550
Salt Lake City, Utah 84110-2550
Telephone: (801) 532-1922

Date: January 3, 2001

BGP/df/dp

CERTIFICATE OF MAILING (under 37 C.F.R. § 1.10 if checked)
I hereby certify that this transmittal along with all items checked below is being deposited with the United States Postal Service
☒ as first class mail, postage prepaid (37 C.F.R. § 1.8)
☐ Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10
Express mail label mailing number:

on the date inserted below and is addressed to the Commissioner for Patents, Washington, D.C. 20231

Deidra Pfeil

Typed name of person mailing transmittal

January 3, 2001
Date of Deposit

Signature of person mailing transmittal

RECEIVED

JAN 11 2001

Technology Center 2600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unknown Group Art Unit No.: 2776
Applicant(s): Warren M. Farnworth et al. Filing date: June 8, 2000
Serial No.: 09/589,841 For (title): STEREO LITHOGRAPHIC METHODS FOR FORMING A PROTECTIVE LAYER ON A SEMICONDUCTOR DEVICE SUBSTRATE AND SUBSTRATES INCLUDING PROTECTIVE LAYERS SO FORMED

COMMUNICATION TRANSMITTAL

Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
- ☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.
- ☒ Preliminary amendment.
- ☐ Amendment or other communication in response to the non-final office action mailed.
- ☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.
- ☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.
- ☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of).
- ☐ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.
- ☒ Letter to Chief Draftsman and copy of FIGS. 3, 7, 10 and 11 with changes made in red.

Remarks:

- ☐ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3		\$EXTRA
Total claims	56	-	56	=	0 x 18.00 =
Indep. claims	7	-	7	=	0 x 78.00 =
First presentation of a multiple dep. claim (+260.00)					
SUBTOTAL					
Reduction for small entity - 50% of subtotal*					
TOTAL ADDITIONAL FEE (subtotal minus any reduction)					0.00

*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.
- 2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.
- 3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

Brick G. Power

Brick G. Power
Reg. No. 38,581
TRASK BRITT
P.O. Box 2550
Salt Lake City, UT 84110-2550
(801) 532-1922

Date: January 3, 2001
Enclosures: As identified above
BGP/djp

TECHNOLOGY CENTER 2800

JAN 16 2001

RECEIVED